

Atty Dkt. No.
33093M006

PCT
JC07 Rec'd PCT/PTO 05 MAR 2002
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Norio MAEDA et al.

Serial No.: 09/936,618

Group Art Unit: To Be Assigned

Filed : September 17, 2001

Examiner: To Be Assigned

For : METHOD AND DEVICE FOR DRYING SUBSTRATE

**RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. 371**

Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notification of Missing Requirements under 35 U.S.C. 371 mailed November 5, 2001, Applicants file herewith the following documents relating to the above-identified application:

- (1) Copy of Notification;
- (2) Executed Declaration/Power of Attorney w/attached specification;
- (3) Recordation Cover Sheet and Executed Assignment;
- (4) Preliminary Amendment w/attached claim pages;
- (5) Petition for a two-month extension of time;
- (6) Information Disclosure Statement, PTO-1449 Form w/5 attached documents; and
- (7) A check in the amount of **\$606.00** to cover the surcharge fee for the Declaration (\$130.00), Petition for two-month extension of time fee (\$400.00), Additional Claims Fee (\$36.00) and the Recordation of Assignment fee (\$40.00).

03/12/2002 MHGUYEN 00000045 09936618


01 FC:154
02 FC:116
03 FC:966

130.00 OP
400.00 OP
36.00 OP

BEST AVAILABLE COPY

Please charge any additional fees which may be necessary to Deposit Account No. 02-4300 and credit any overpayment to said Deposit Account. This includes any additional fees under 37 C.F.R. § 1.16 and 1.17.

Respectfully submitted,
SMITH, GAMBRELL & RUSSELL, LLP

By: 

Michael A. Makuch, Reg. No. 32,263
1850 M Street, N.W., Suite 800
Washington, D.C. 20036
Telephone: (202) 659-2811
Facsimile: (202) 263-4329

March 5, 2002

BEST AVAILABLE COPY

*Missing Reg
1-5-02*

SMK

☐ Commissioner for Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20230, www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,618	Norio Maeda	33093M006

INTERNATIONAL APPLICATION NO.

PCT/JP00/00175

I.A. FILING DATE	PRIORITY DATE
------------------	---------------

01/17/2000

00441
SMITH, GAMBRELL & RUSSELL, LLP
1850 M STREET, N.W., SUITE 800
WASHINGTON, DC 20036

CONFIRMATION NO. 9087

371 FORMALITIES LETTER



OC000000007023206

Date Mailed: 11/05/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

BEST AVAILABLE COPY

- Additional claim fees of **\$342** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$472** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$342**
 - **\$72** for 4 total claims over 20.
 - **\$270** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,618	PCT/JP00/00175	33093M006

BEST AVAILABLE COPY